



**FINANCING STATEMENT
FOR REAL ESTATE AND UCC FILING/UCC-1A**
NORTH DAKOTA COUNTY RECORDERS
SFN 17832 (09-27-01)

THIS FORM FOR COUNTY FILING/RECORDING USE ONLY
PLEASE TYPE. Please read instructions on back before completing.

A. Debtor's Exact Full Legal Name - do not abbreviate or combine names
If individual, Last Name First Individual Organization SSN or TIN

1. _____

ADDRESS: _____

ADD'L INFO RE ORGANIZATION	TYPE OF ORGANIZATION	JURISDICTION OF ORGANIZATION	ORGANIZATIONAL ID #, if any
DEBTOR			<input type="checkbox"/> NONE
Individual <input type="checkbox"/> Organization <input type="checkbox"/>			

2. _____

ADDRESS: _____

Reserved for Filing Officer Use

ADD'L INFO RE ORGANIZATION	TYPE OF ORGANIZATION	JURISDICTION OF ORGANIZATION	ORGANIZATIONAL ID #, if any
DEBTOR			<input type="checkbox"/> NONE

B. SECURED PARTY NAME AND ADDRESS (from which security info is obtainable)

SSN/TIN: _____ Telephone #: _____

C. ASSIGNEE NAME AND ADDRESS (if any)

SSN/TIN: _____ Telephone #: _____

D. This financing statement covers the following types (or items) of property: (Check applicable box)

Goods to become fixtures.

Timber.

Minerals or the like (including oil and gas).

Accounts resulting from sale of minerals at wellhead or minehead.

E. Description of Collateral:

F. Products of collateral are also covered: Yes No

G. Legal Description: This financing statement is to be filed for record in the real estate records.

H. The name of a record owner is (if the debtor does not have an interest of record): _____

I. Recording Party Contact Person _____ Telephone # _____



**FINANCING STATEMENT
FOR REAL ESTATE AND UCC FILING/UCC-1A**
NORTH DAKOTA COUNTY RECORDERS
SFN 17832 (09-27-01)

THIS FORM FOR COUNTY FILING/RECORDING USE ONLY

PLEASE TYPE. Please read instructions on back before completing.

A. Debtor's Exact Full Legal Name - do not abbreviate or combine names

If individual, Last Name First Individual Organization SSN or TIN

1. _____

ADDRESS: _____

ADD'L INFO RE ORGANIZATION	TYPE OF ORGANIZATION	JURISDICTION OF ORGANIZATION	ORGANIZATIONAL ID #, if any
DEBTOR			<input type="checkbox"/> NONE

Individual Organization

2. _____

ADDRESS: _____

Reserved for Filing Officer Use

ADD'L INFO RE ORGANIZATION	TYPE OF ORGANIZATION	JURISDICTION OF ORGANIZATION	ORGANIZATIONAL ID #, if any
DEBTOR			<input type="checkbox"/> NONE

B. SECURED PARTY NAME AND ADDRESS (from which security info is obtainable)

C. ASSIGNEE NAME AND ADDRESS (if any)

SSN/TIN: _____ Telephone #: _____

SSN/TIN: _____ Telephone #: _____

D. This financing statement covers the following types (or items) of property: (Check applicable box)

- Goods to become fixtures.
- Timber.
- Minerals or the like (including oil and gas).
- Accounts resulting from sale of minerals at wellhead or minehead.

E. Description of Collateral:

F. Products of collateral are also covered: Yes No

G. Legal Description: This financing statement is to be filed for record in the real estate records.

H. The name of a record owner is (if the debtor does not have an interest of record):

I. Recording Party Contact Person _____ Telephone # _____

UCC-1A INSTRUCTIONS

1. This form can only be filed with a County Recorder's office. **DO NOT FILE THIS FORM WITH THE SECRETARY OF STATE.**
2. Verify for accuracy and correct spelling.
3. Submit the original and one copy to the County Recorders of the county in which recording in the real estate records is required. UCC filing will take place through the County Recorder's office as well.
4. Refer to the user's guide for further information. The guide may be purchased from the Secretary of State's office.

WHEN TO USE UCC-1A:

When the collateral is timber to be cut, or is minerals or the like (including oil and gas), or accounts subject to Subsection 5 of Section 41-09-03 of the North Dakota Century Code (NDCC), or when the financing statement is filed as a fixture filing (NDCC 41-09-34) and the collateral is goods which are or are to become fixtures, then use the UCC-1A and file in the office where a mortgage on the real estate would be filed or recorded. This form will serve for both UCC filing and mortgage recording.

The following letters correspond to the lettered sections on the front of this form.

- A. **DEBTOR NAME:** Individual or Organization - check one per debtor. If an individual, enter last name, first name and middle name. For a business, begin with the first word or character not an article or punctuation mark. Provide a complete mailing address for all debtors, Social Security Number (SSN) or Tax Identification Number (TIN) must be provided for all debtors. If debtor is an organization the additional information must be provided: type of organization, jurisdiction of organization, and organizational ID number (if any) or check none.
- B. **SECURED PARTY NAME:** Enter the name of the secured party and an address and telephone number from which security information is obtainable. SSN/TIN number for the secured party is optional.
- C. **ASSIGNEE NAME:** Follow the same instructions as with "B".
- D. Check the appropriate box to describe the collateral.
- E. Describe collateral.
- F. Check box(es) as appropriate.
- G. Provide proper legal description for recording in mortgage records.
- H. If the debtor does not have an interest of record in the real estate provide the name of a record owner.
- I. In order to expedite the filing and recording provide the name of the appropriate contact person with a telephone number.

The follow fees are for North Dakota State forms.

FEES:	Recording Fee and UCC-1A Filing Fee/UCC Termination -----	\$28.00
	Recording Fee and NON-STANDARD UCC-1A Filing Fee/UCC Termination -----	\$33.00
	Additional attachments per typed page -----	\$ 4.00
	Satisfaction Fee -----	\$13.00

NOTE: The UCC-1A is a dual purpose form. It provides for the recording in real estate records and filing under the UCC provisions. There are two different fees imposed, one for recording and the other for the UCC filing. The recording fees cannot be billed through the UCC Central Indexing System. Therefore it will be necessary, even for clients who have a customer number, to pay at the time of filing.