



REAL ESTATE AND UCC FILING/UCC-3A
NORTH DAKOTA COUNTY RECORDERS
 SFN 17830 (9-27-01)

Statement of assignment, continuation, release, subordination, amendment, termination, satisfaction, or update.

THIS FORM FOR COUNTY FILING/RECORDING USE ONLY

PLEASE TYPE. Please read instructions on back before completing.

A. DEBTOR NAME (if individual, last name first) Social Security Number or TIN

1. _____

ADDRESS: _____

2. _____

ADDRESS: _____

3. _____

ADDRESS: _____

Reserved for Filing Officer Use

B. This statement refers to original Financing Statement Number _____ Date Filed: _____, _____.

This statement refers to original Financing Statement Recorded Document No. _____ Book _____ Page _____.

- C. **ASSIGNMENT** Partial Full The Secured Party assigns to the assignee whose name, address, and SSN/TIN is listed, Secured Party's rights under the financing statement bearing the file number listed above in the described collateral. If partial assignment, describe collateral assigned below.
- CONTINUATION** The original financing statement bearing the file number listed above is continued for an additional five years. (Effective only if submitted within six months prior to expiration date).
- RELEASE** Secured Party releases the collateral described in the financing statement bearing the file number listed above. Describe the collateral released below. If the partial release is of land, full legal description must be included.
- SUBORDINATION** The Secured Party subordinates its priority position in the collateral described as provided in the subordination agreement referred to below.
- AMENDMENT** Financing statement bearing the file number listed above is amended as described below. If amendment is adding land as collateral, provide a full legal description of land.
- TERMINATION** The Secured Party no longer claims a security interest under the financing statement bearing the file number listed above.
- SATISFACTION** The Secured Party no longer claims a security interest under the financing statement bearing the recorded document number listed above.
- UPDATE** Financing statement bearing the file number listed above is updated as described below.

NOTE: A separate fee will be charged for each action checked.

D. Describe specific changes being made to the original filing.

Individual Organization To be completed when adding a debtor that is an organization.

ADD'L INFO RE ORGANIZATION DEBTOR	TYPE OF ORGANIZATION	JURISDICTION OF ORGANIZATION	ORGANIZATIONAL ID #, if any <input type="checkbox"/> NONE
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E. NAME OF SECURED PARTY OF RECORD AUTHORIZING THIS AMENDMENT _____

ORGANIZATION'S NAME

F. Contact Person

Telephone #



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Telephone # _____

UCC-3A INSTRUCTIONS

1. Submit the original and one copy to the County Recorders of the county in which the UCC-1A was filed and recorded. UCC filing will take place through the County Recorder's office.
2. Verify for accuracy and correct spelling.
3. Refer to the user's guide for further information. The guide may be purchased from the Secretary of State's office.

WHEN TO USE UCC-3A:

When the collateral is timber to be cut, or is minerals or the like (including oil and gas), or accounts subject to Subsection 5 of Section 41-09-03 of the North Dakota Century Code (NDCC), or when the financing statement is filed as a fixture filing (NDCC 41-09-34) and the collateral is goods which are or are to become fixtures, then use the UCC-3A and file in the office where the UCC-1A was filed and recorded. This form will serve for both UCC filing and mortgage recording.

The following letters correspond to the lettered sections on the front of this form.

- A. **DEBTOR NAME:** If an individual, enter last name, first name and middle name. For a business, begin with the first word or character not an article or punctuation mark. Provide a complete mailing address for all debtors. Social Security Number (SSN) or Tax Identification Number (TIN) must be provided for all debtors.
- B. In order for the changes to be properly reflected in both mortgage records and on the UCC filing you must provide the original financing statement number, and date filed. Likewise indicate the original financing statement recorded document number, book and page.
- C. **REQUESTED CHANGE:** Mark the boxes indicating the action you are requesting affecting the original UCC-1A filing. They each have a unique filing number assigned and they are tied to the original filing. When more than one action is indicated a separate fee will be charged for each one.
- D. **CHANGE INFORMATION:** This space is used to describe specific changes being made to the original filing. If making an assignment be sure to provide the name of the secured party who is making the assignment and complete name, address, and telephone number of the assignee. The SSN/TIN is optional. In addition if there is a partial assignment clearly specify the collateral being assigned. When making a release be specific as to the originally listed collateral being released. If adding a debtor check individual or organization. When adding a debtor that is an organization the additional debtor information fields must be completed. If you are amending names of a debtor, secured party or assignee be sure to provide the original information or as previously amended and the new information. If this is to give notice of subordination identify subordination agreement by date and its parties. If not all collateral is being subordinated clearly identify collateral affected. An update is one in which the secured party's name, address, SSN/TIN, or telephone number is being updated and does not require debtor's signature. Provide proper legal description for recording in mortgage records.
- E. Insert name of secured party of record authorizing the amendment.
- F. In order to expedite the filing and recording provide the name of the appropriate contact person with a telephone number.

The follow fees are for North Dakota State forms.

FEES:	Recording Fee and UCC-3A Filing Fee -----	\$23.00
	Recording Fee and NON-STANDARD UCC-3A Filing Fee -----	\$28.00
	Additional attachments per typed page (8 1/2 X 11) -----	\$ 4.00
	Satisfaction Fee -----	\$13.00

NOTE: The UCC-3A is a dual purpose form. It provides for the recording in real estate records and filing under the UCC provisions. There are two different fees imposed, one for recording and the other for the UCC filing. The recording fees cannot be billed through the UCC Central Indexing System. Therefore it will be necessary, even for clients who have a customer number, to pay at the time of filing.